

Reasonable Explanation Supporting your Jurisdiction of Tax Residence for the purpose of Automatic Exchange of Financial Account Information (“AEOI”) and its Common Reporting Standard (“CRS”)

It is noted from the Self-Certification Form submitted by you that you are not a tax resident of the jurisdiction where you incorporated. Following the guidance provided by the Hong Kong Inland Revenue Department on the reasonableness of a Self-Certification Form, Huatai Financial Holdings (Hong Kong) Limited (“HUATAI”) has to obtain a reasonable written explanation from you supporting your jurisdiction of tax residence as part of the CRS due diligence procedures. In this regard, please complete this form by following the instruction below, where applicable:

I/we certify that the Entity Account Holder is not a tax resident of the jurisdiction which it was incorporated because (select one of the following reasons as applicable):

Under the relevant tax laws, the tax residence of the Entity Account Holder is determined by reference to its place of effective management, and that the jurisdiction where the effective management is situated differs from the jurisdiction in which it was incorporated.

The aforementioned reasonable explanation is NOT applicable to the Entity Account Holder and I/we therefore provide the reasonable explanation to support its jurisdiction of tax residence as follows:

Based on the above certification, please provide any additional information, if considered appropriate:

I/we declare that the information given and statements made in this form are, to the best of my knowledge and belief, true, correct and complete.

Signature

Full Name (please print):

Capacity:

Date: